David B. Abrams, PhD Professor Social and Behavioral Sciences College of Global Public Health 41 East 11<sup>th</sup> Street New York, NY 10003 P: 212- 992-3713 david.b.abrams @nyu.edu

# **Dr. Barry London**

Editor-in-Chief
Journal of the American Heart Association
University of Iowa
barry-london@uiowa.edu

#### Dr. Janice Weinberg

Statistical Editor
Journal of the American Heart Association
Boston University
janicew@bu.edu

### Dr. Daniel T. Eitzman

Deputy Editor
Journal of the American Heart Association
University of Michigan
deitzman@umich.edu

#### **Dr. Robert Harrington**

President
American Heart Association
Stanford University
rharring@stanford.edu

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Dear Drs. London, Eitzman, Weinberg and Harrington,

# JAHA paper on e-cigarettes and myocardial infarction

In June, the *Journal of the American Heart Association* published "Electronic cigarette use and myocardial infarction among adults in the US Population Assessment of Tobacco and Health [PATH]," by Dharma N. Bhatta and Stanton A. Glantz.<sup>1</sup>

We are concerned that the primary finding of the study is based on a critical error. Brad Rodu of the University of Louisville has provided a strong critique of this study, which we summarize as follows.

Many of the myocardial infarctions (MI) used in the calculation of the association between ecigarette use and MI risk occurred *before* subjects first used e-cigarettes. The PATH survey includes timing data for MI and first e-cigarette use that the authors should have used to exclude these cases from the analysis. Further, the authors went on to conclude that the association was causal and made policy recommendations regarding smoking cessation practice on that basis. When the cases in which the MI occurred before e-cigarette use are excluded, the analysis does not show an association. Any residual association is, in any case, likely due to incomplete adjustment for almost complete confounding by smoking history. It follows that the conclusion is not supported by the underlying data and, therefore, the published findings could mislead practitioners and policymakers.

These concerns have been put directly to the journal in the expectation that the journal would investigate and correct the record as appropriate. Rodu and his colleague wrote two letters to the JAHA editorial team explaining the problems and asking the journal "to take appropriate action on this article, including retraction".<sup>2 3</sup> The flaws in the study were raised in the national press in an article in USA Today in July.<sup>4</sup> Most recently, on November 27, the Bhatta-Glantz



paper was discussed in a blog post by Andrew Gelman, a widely respected professor of statistics and director of the Applied Statistics Center at Columbia University.<sup>5</sup> Professor Gelman states:

It seems like a real article with a data issue that Rodu found, and the solution would seem to be to perform a corrected analysis removing the data from the people who had heart problems before they started vaping

Gelman's contribution is important because it recognizes the data problem with the paper and recommends addressing this with the same approach that Rodu and his colleague have used. The authors' approach of only including MIs that occurred after 2007 does not do this adequately (subjects may have started vaping, for example, in 2014 after an MI in 2013 – a plausible patient response). But the data is available to perform the analysis that both Gelman and Rodu recommend. If that is done, the association disappears, and the authors' conclusion is invalidated. It is clear that the authors knew of the PATH survey questions that establish the respective timings of MI and e-cigarette uptake because they refer to these questions in the discussion section of their paper. It is a matter of concern, therefore, that the authors did not use these questions to eliminate the MIs that occurred prior to e-cigarette uptake from their analysis.

The journal has not so far provided a substantive response to these concerns. However, according to the journal's Policy and Procedure Manual, the response to such concerns should follow the guidance of Committee on Publication Ethics (COPE). "The AHA policy reflects the COPE best practices while also allowing for notification of the Scientific Publishing Committee (SPC) Chair and AHA Corporate Counsel." <sup>6</sup>

The COPE guidelines on retraction state:<sup>7</sup>

Journal editors should consider retracting a publication if they have clear evidence that the findings are unreliable, either as a result of misconduct (e.g. data fabrication) or falsification (eg image manipulation) or honest error (e.g. miscalculation or experimental error).

Further, the COPE guidelines on handling concerns about scientific soundness raised directly by external 'whistleblowers' such as Rodu require a proper investigation and response.<sup>8</sup>

The analysis provided by Professors Rodu and Gelman strongly suggests that the published findings are unreliable and that there is a case to answer.

We respectfully request that the journal initiates the relevant COPE procedures. It is time this paper received proper critical scrutiny and that the published record is corrected as appropriate.

We would appreciate a reply indicating what steps the journal will take next. Given the interest in this paper, we expect to make this letter public in due course.

# Yours sincerely

#### David Abrams, PhD

Professor, Social and Behavioral Sciences NYU College of Global Public Health New York University

## Kenneth Michael Cummings, PhD

Professor

Co-leader Tobacco Research Program Department of Psychiatry and Behavioral Sciences

Medical University of South Carolina

## **George Davey Smith**

Professor of Clinical Epidemiology University of Bristol United Kingdom

#### Konstantinos Farsalinos, MD, MPH

Onassis Cardiac Surgery Centre University of Patras National School of Public Health Greece

#### Jonathan Foulds, PhD

Professor of Public Health Sciences & Psychiatry
Penn State University, College of Medicine

### Abigail Friedman, PhD

Assistant Professor, Department of Health Policy and Management Yale School of Public Health

#### Thomas Glynn, PhD

Adjunct Lecturer Prevention Research Center School of Medicine Stanford University

#### Peter Hajek, PhD

Professor of Clinical Psychology Director Tobacco Dependence Research Unit Wolfson Institute of Preventive Medicine Queen Mary University of London United Kingdom

### Martin Jarvis, DSc OBE

Emeritus Professor of Health Psychology Department of Behavioural Science and Health University College London United Kingdom

#### Robert Kaestner, PhD

Research Professor Harris School of Public Policy University of Chicago

### Ann McNeill, PhD

Professor of Tobacco Addiction
Head of the Nicotine Research Group (NRG)
National Addiction Centre
Institute of Psychiatry, Psychology &
Neuroscience
King's College London
United Kingdom

## Marcus Munafò, PhD

Professor of Biological Psychology MRC Integrative Epidemiology Unit School of Psychological Science University of Bristol United Kingdom

## Raymond Niaura, PhD

Professor, Social and Behavioral Sciences NYU College of Global Public Health New York University

#### David Sweanor, JD

Adjunct Professor of Law
Chair of Advisory Board of the
Center for Health Law, Policy and Ethics
University of Ottawa
Canada

## David Timberlake, PhD

Associate Professor College of Health Sciences University of California, Irvine

#### Kenneth Warner, PhD

Avedis Donabedian Distinguished University Professor Emeritus of Public Health Dean Emeritus School of Public Health University of Michigan The signatories write in a personal capacity and declare no competing interests with respect to tobacco or e-cigarette industries. Full ICMJE disclosures are available on request.

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